

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

16313-0280

Named Inventor(s)

Oswaldo da Costa e Silva, Hans J. Bohnert, Nocha Van Thielen, Ruoying Chen and Rodrigo Sarria-Millan

Title

Cell Cycle Stress-Related Proteins and Methods of Use in Plants

Express Mail Label No.

EL 972 592 537 US

## APPLICATION ELEMENTS

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

## ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification, Claims,  
and Abstract 75 Total Pages
4. ☒ Drawings 5 Total Sheets
5. Oath or Declaration 10 Total Pages
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17  
completed)  
[Note Box 5 Below]
    - (i) ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
6. ☒ Incorporation by Reference  
(usable if Box 5b is checked)  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 5b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.
7. ☐ Applicant does not intend to file this application in  
any foreign country, and requests that this  
application NOT BE PUBLISHED.
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

10. ☒ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
  - a. ☒ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ paper
  - c. ☒ Statement verifying identity of above  
copies
11. ☒ Assignment:
  - a. ☐ Assignment Papers (cover sheet &  
document(s))
  - b. ☒ Assignment is of record in parent  
application No. 09/828,062
12. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)  
☐ Power of Attorney by assignee
13. ☐ English Translation Document (if applicable)
14. ☒ Information Disclosure Statement (IDS) PTO-  
1449  
☐ Copies of IDS Citations
15. ☒ Preliminary Amendment
16. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
17. ☐ Certified Copy of Priority Document(s)
18. ☐ Request and Certification under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
19. ☒ Other: Claims priority to U.S. Non-Provisional  
Application Serial No. 09/828,062 filed April 6,  
2001 and Provisional Application Serial No.  
60/196,001 filed April 7, 2000.

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information and in a preliminary amendment, or in an Application Date Sheet under 37 CFR 1.76:
- ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: **09/828,062**  
Prior application information: Examiner: **Cynthia Collins** Group Art Unit: **1638**  
Recite complete dependency back to first parent application: **Claims priority to U.S. Non-Prov. Appl. 09/828,062**  
**file April 6, 2001 and U.S. Prov. Appl 60/196,001 filed April 7, 2000.**

18. CORRESPONDENCE ADDRESS:  
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The PTO did not receive the following  
listed item(s) 11221999

**FEE TRANSMITTAL**

Attorney Docket No. 16313-0280

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Oswaldo da Costa e Silva, et al**Filing Date: **January 30, 2004**Title: **Cell Cycle Stress-Related Proteins and Methods of Use in Plants**

The filing fee is calculated as shown below:

**1. FILING FEE:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$385		\$770	770.00
<input type="checkbox"/> DESIGN FILING FEE	\$170		\$340	
<input type="checkbox"/> PLANT FILING FEE	\$265		\$530	
<input type="checkbox"/> REISSUE FILING FEE	\$385		\$770	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
SUBTOTAL (1)		\$		\$770.00

**2. CLAIMS:**

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	44 - 20 =	24	x 9 =		x 18 =	432.00
INDEP. CLAIMS	9 - 3 =	6	x 43 =		x 86 =	516.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =		+290 =	
SUBTOTAL (2)				\$		\$948.00

**3. ADDITIONAL FEES:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$		\$

**TOTAL FILING FEES: \$1,718.00**A check is enclosed for the total amount: **\$1,718.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 19-5029.

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